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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 01-40164-US  
First Inventor Joseph T. Pallante  
Title Trusted Third Party Services System and Method  
Express Mail Label No. EL939105032US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 97]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total 14]
5. Oath or Declaration [Total Pages 3]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s)) ☒
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Transmittal Letter for Patent application

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label \_\_\_\_\_ or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

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Signature	<i>Jonathan M. Darcy</i>	Date	12/31/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

10037263

12/31/01  
PTO  
10/037263



In re: Patent application of  
Joseph T. Pallante

Examiner: Not Yet Assigned

Group Art Unit:  
Not Yet Assigned

For: **Trusted Third Party Services System and Method**

U.S. Patent and Trademark Office  
BOX PATENT APPLICATION  
Washington, D.C. 20231

This application claims priority from Provisional Patent Application Serial No. 60/310,326, entitled TRUSTED THIRD PARTY SERVICES SYSTEM AND METHOD, filed August 6, 2001, which is hereby incorporated by reference as if fully set forth in its entirety herein.

The following document(s) is/are submitted herewith:

## ■ Patent Application

71	Utility Patent Application Transmittal Sheet (PTO/SB/05)
25	Utility Patent Application
1	pages of Specification
14	pages Claims
	page Abstract
	Pages of drawings (Figures 1-14)
	Executed Declaration and Power of Attorney
	Executed Assignment with Assignment Recordation Sheet
	Check in the amount of \$40.00 for Recordation of Assignment Fee
	Return receipt postcard

2500 One Liberty Place Delaware  
1650 Market Street New Jersey  
Philadelphia, PA 19103-7301 New York  
215.851.8100 Pennsylvania  
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Virginia  
Washington, DC

Formed in the Commonwealth of Pennsylvania

"Reed Smith" refers to Reed Smith LLP and related entities

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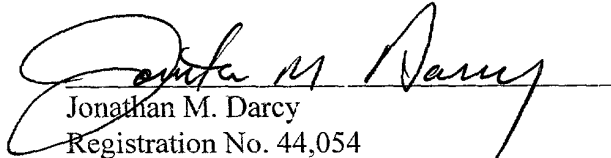
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Box Patent Application  
December 31, 2001  
Page 2

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0586.

SEND ALL CORRESPONDENCE TO:

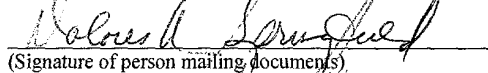
Respectfully submitted,

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Certificate of Express Mail (37 CFR 1.10)

I hereby certify that the attached Patent Application, and any document referred to as being attached or included, is being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service in an envelope addressed to U.S. Patent and Trademark Office, BOX PATENT APPLICATION, P.O. Washington, D.C. 20231 on 31 December, 2001. The number of the "Express Mail" mailing label (EL939105032US) has been placed on the accompanying correspondence prior to mailing. It is therefore respectfully requested that the attached be considered as having been filed in the Office on the date shown above in accordance with the provisions of 37 C.F.R. 1.10.

  
(Signature of person mailing documents)

Dolores A. Springfield  
(Type name of person mailing documents)